

# 4

# APPLICATIONS OF THE DERIVATIVE

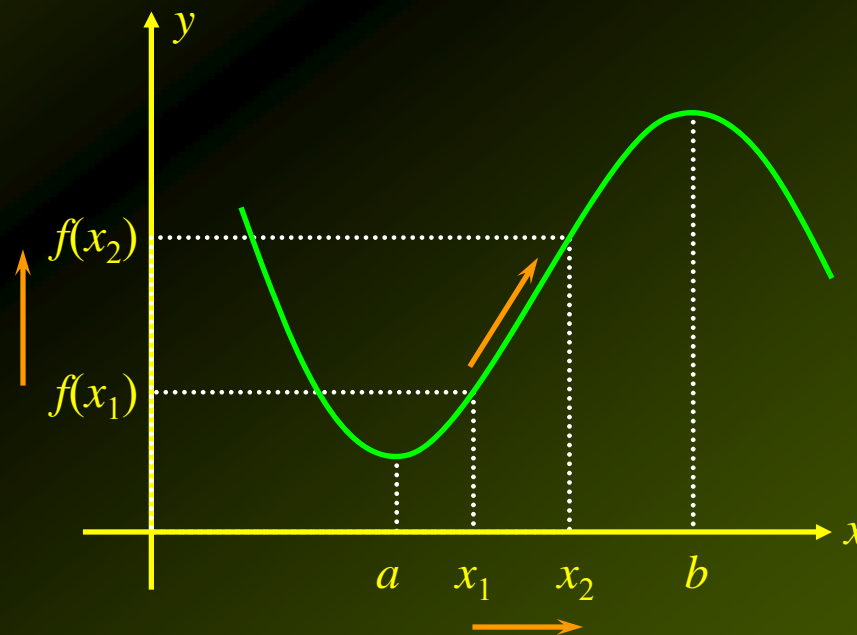


# 4.1

## Applications of the First Derivative

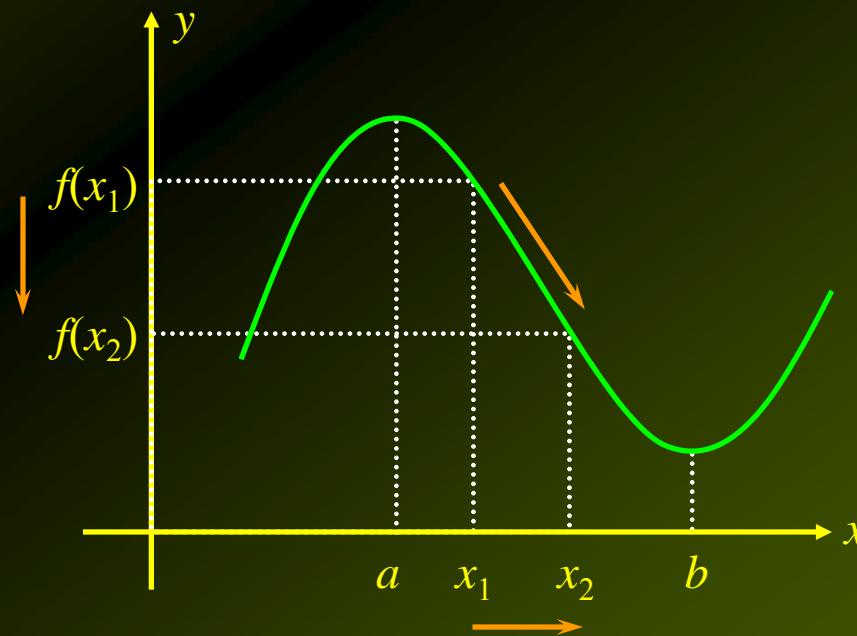
# Increasing and Decreasing Functions

A function  $f$  is **increasing** on an **interval**  $(a, b)$  if for any two numbers  $x_1$  and  $x_2$  in  $(a, b)$ ,  $f(x_1) < f(x_2)$  wherever  $x_1 < x_2$ .



# Increasing and Decreasing Functions

A function  $f$  is **decreasing** on an **interval**  $(a, b)$  if for any two numbers  $x_1$  and  $x_2$  in  $(a, b)$ ,  $f(x_1) > f(x_2)$  wherever  $x_1 < x_2$ .



# Theorem 1

If  $f'(x) > 0$  for each value of  $x$  in an interval  $(a, b)$ , then  $f$  is **increasing** on  $(a, b)$ .

If  $f'(x) < 0$  for each value of  $x$  in an interval  $(a, b)$ , then  $f$  is **decreasing** on  $(a, b)$ .

If  $f'(x) = 0$  for each value of  $x$  in an interval  $(a, b)$ , then  $f$  is **constant** on  $(a, b)$ .

# Example 1

Find the **interval** where the function  $f(x) = x^2$  is **increasing** and the **interval** where it is **decreasing**.

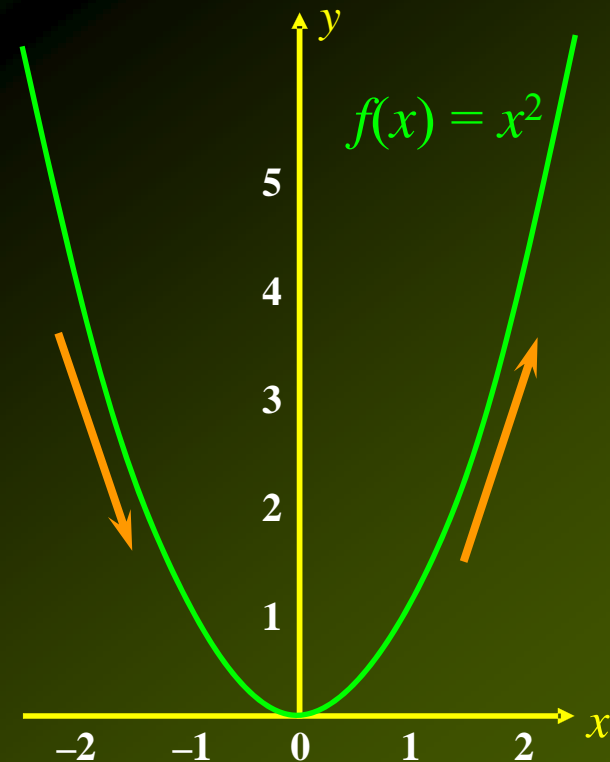
Solution:

The derivative of  $f(x) = x^2$   
is  $f'(x) = 2x$ .

$$f'(x) = 2x > 0 \quad \text{if } x > 0$$

$$\text{and } f'(x) = 2x < 0 \quad \text{if } x < 0.$$

Thus,  $f$  is **increasing** on the **interval**  $(0, \infty)$  and **decreasing** on the **interval**  $(-\infty, 0)$ .



## Determining the Intervals Where a Function is Increasing or Decreasing

1. Find all the values of  $x$  for which  $f'(x) = 0$  or  $f'$  is discontinuous and identify the open intervals determined by these numbers.
2. Select a test number  $c$  in each interval found in step 1 and determine the sign of  $f'(c)$  in that interval.
  - a. If  $f'(c) > 0$ ,  $f$  is increasing on that interval.
  - b. If  $f'(c) < 0$ ,  $f$  is decreasing on that interval.

## Example 2

Determine the **intervals** where the function

$$f(x) = x^3 - 3x^2 - 24x + 32$$

is **increasing** and where it is **decreasing**.

Solution:

1. Find  $f'$  and solve for  $f'(x) = 0$ :

$$f'(x) = 3x^2 - 6x - 24 = 3(x + 2)(x - 4) = 0$$

Thus, the **zeros** of  $f'$  are  $x = -2$  and  $x = 4$ .

These numbers divide the real line into the **intervals**  $(-\infty, -2)$ ,  $(-2, 4)$ , and  $(4, \infty)$ .



## Example 2 – Solution

cont'd

2. To determine the sign of  $f'(x)$  in the intervals we found  $(-\infty, -2)$ ,  $(-2, 4)$ , and  $(4, \infty)$ , we compute  $f'(c)$  at a convenient test point in each interval.

Lets consider the values  $-3$ ,  $0$ , and  $5$ :

$$f'(-3) = 3(-3)^2 - 6(-3) - 24 = 27 + 18 - 24 = 21 > 0$$

$$f'(0) = 3(0)^2 - 6(0) - 24 = 0 + 0 - 24 = -24 < 0$$

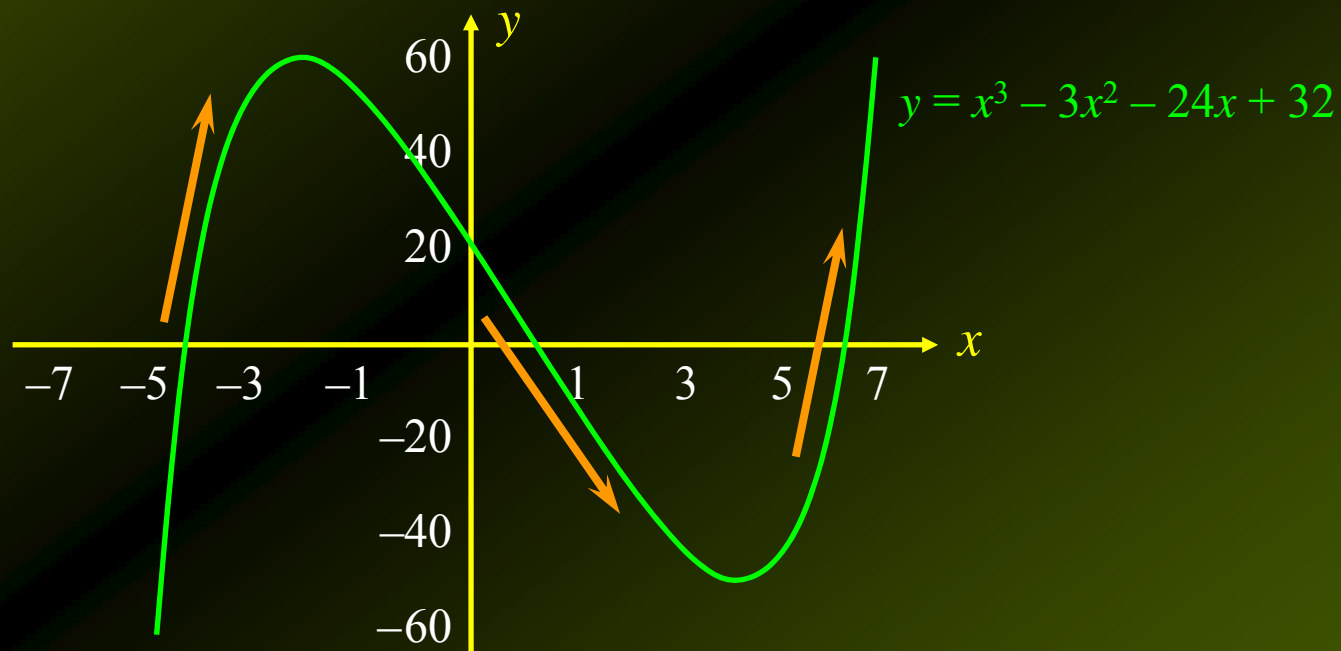
$$f'(5) = 3(5)^2 - 6(5) - 24 = 75 - 30 - 24 = 21 > 0$$

Thus, we conclude that  $f$  is increasing on the intervals  $(-\infty, -2)$ ,  $(4, \infty)$ , and is decreasing on the interval  $(-2, 4)$ .

## Example 2 – Solution

cont'd

So,  $f$  increases on  $(-\infty, -2)$ ,  $(4, \infty)$ , and decreases on  $(-2, 4)$ :



## Example 4

Determine the **intervals** where  $f(x) = x + \frac{1}{x}$  is **increasing** and where it is **decreasing**.

Solution:

1. Find  $f'$  and solve for  $f'(x) = 0$ :

$$f'(x) = 1 - \frac{1}{x^2} = \frac{x^2 - 1}{x^2} = 0$$

$f'(x) = 0$  when the **numerator** is equal to zero, so:

$$x^2 - 1 = 0$$

$$x^2 = 1$$

$$x = \pm 1$$

Thus, the **zeros** of  $f'$  are  $x = -1$  and  $x = 1$ .

## Example 4 – Solution

cont'd

Also note that  $f'$  is **not defined** at  $x = 0$ , so we have **four intervals** to consider:  $(-\infty, -1)$ ,  $(-1, 0)$ ,  $(0, 1)$ , and  $(1, \infty)$ .

2. To determine the sign of  $f'(x)$  in the intervals we found  $(-\infty, -1)$ ,  $(-1, 0)$ ,  $(0, 1)$ , and  $(1, \infty)$ , we **compute  $f'(c)$**  at a convenient **test point** in each interval.

Lets consider the values  $-2$ ,  $-1/2$ ,  $1/2$ , and  $2$ :

$$f'(-2) = 1 - \frac{1}{(-2)^2} = 1 - \frac{1}{4} = \frac{3}{4} > 0$$

So  $f$  is **increasing** in the interval  $(-\infty, -1)$ .

## Example 4 – *Solution*

cont'd

$$f'(-\frac{1}{2}) = 1 - \frac{1}{(-\frac{1}{2})^2} = 1 - \frac{1}{\frac{1}{4}} = 1 - 4 = -3 < 0$$

So  $f$  is **decreasing** in the interval  $(-1, 0)$ .

$$f'(\frac{1}{2}) = 1 - \frac{1}{(\frac{1}{2})^2} = 1 - \frac{1}{\frac{1}{4}} = 1 - 4 = -3 < 0$$

So  $f$  is **decreasing** in the interval  $(0, 1)$ .

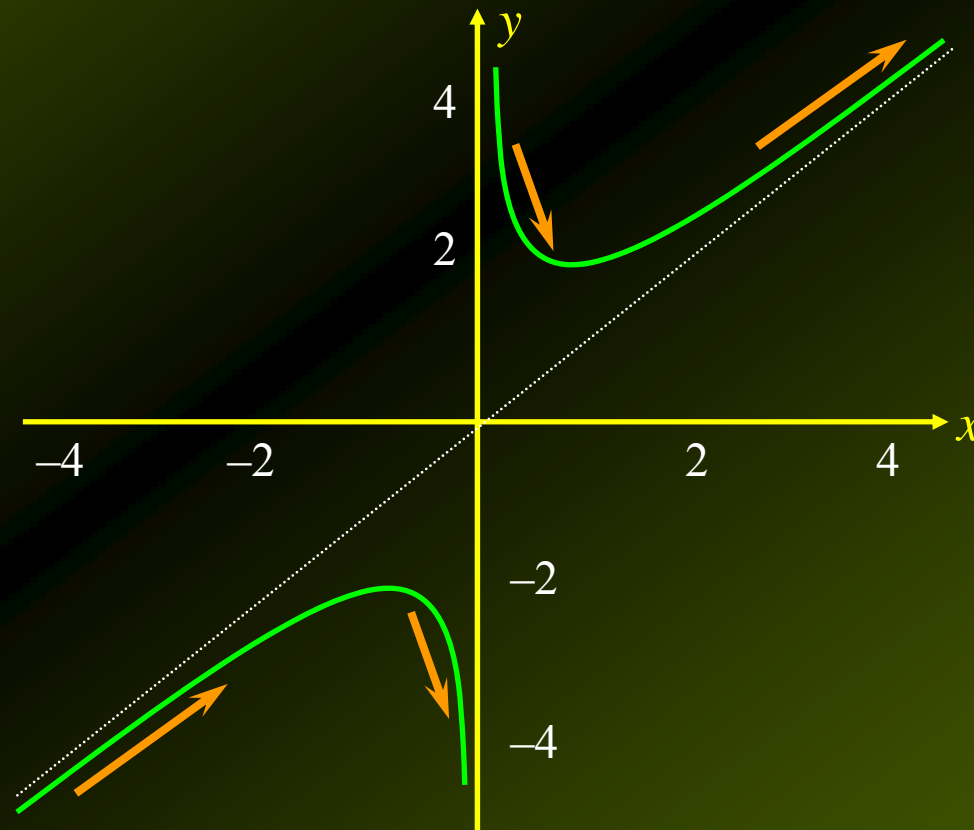
$$f'(2) = 1 - \frac{1}{(2)^2} = 1 - \frac{1}{4} = \frac{3}{4} > 0$$

So  $f$  is **increasing** in the interval  $(1, \infty)$ .

# Example 4 – *Solution*

cont'd

Thus,  $f$  is **increasing** on  $(-\infty, -1)$  and  $(1, \infty)$ , and **decreasing** on  $(-1, 0)$  and  $(0, 1)$ :

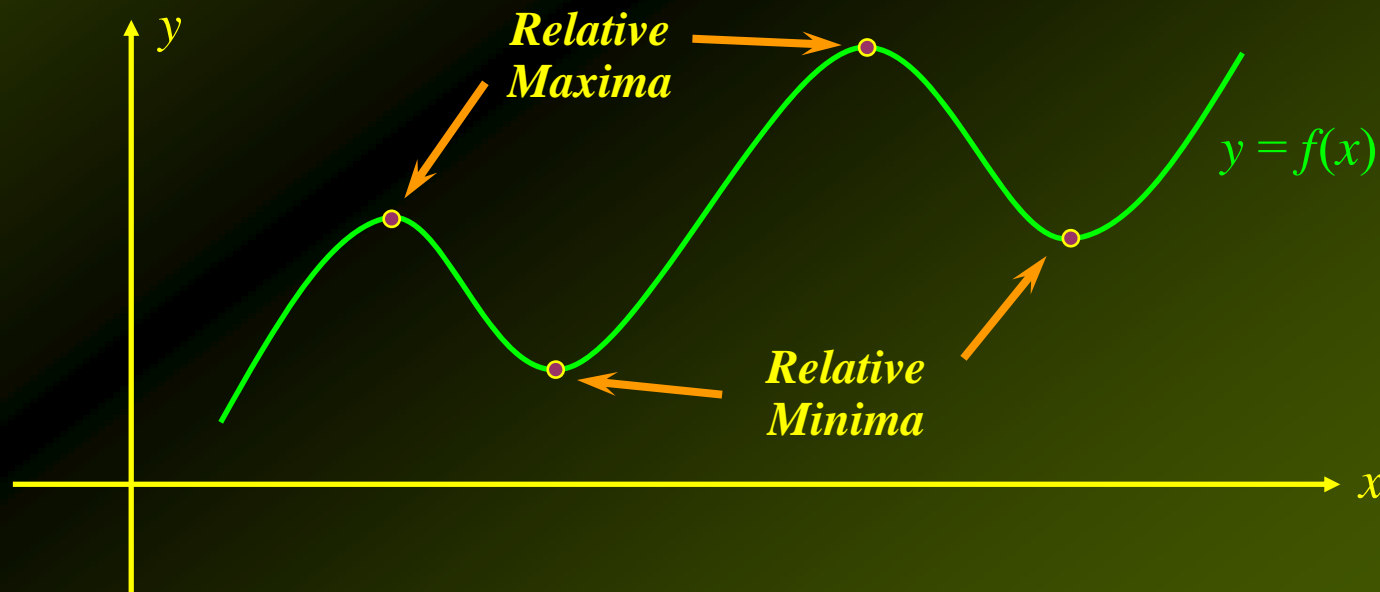


# Relative Extrema

The first derivative may be used to help us *locate high points* and *low points* on the graph of  $f$ :

- *High points* are called **relative maxima**
- *Low points* are called **relative minima**.

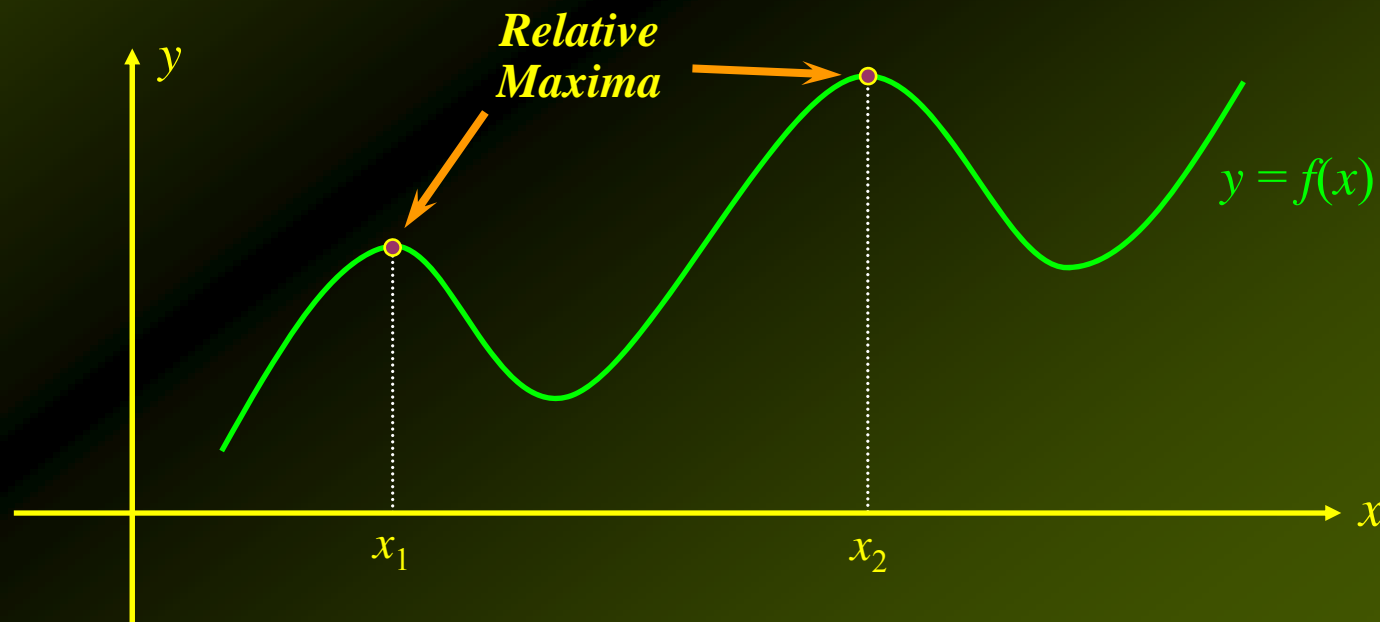
Both high and low points are called **relative extrema**.



# Relative Extrema

## Relative Maximum

A function  $f$  has a **relative maximum** at  $x = c$  if there exists an open interval  $(a, b)$  containing  $c$  such that  $f(x) \leq f(c)$  for all  $x$  in  $(a, b)$ .

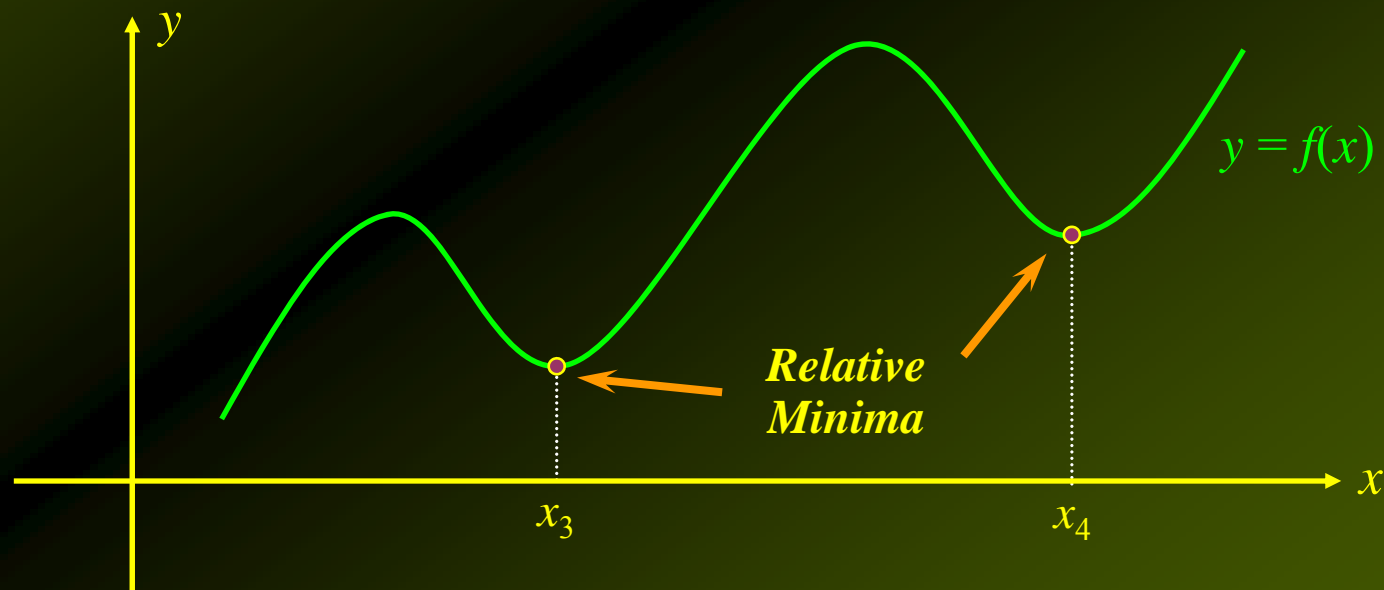




# Relative Extrema

## Relative Minimum

A function  $f$  has a **relative minimum** at  $x = c$  if there exists an open interval  $(a, b)$  containing  $c$  such that  $f(x) \geq f(c)$  for all  $x$  in  $(a, b)$ .

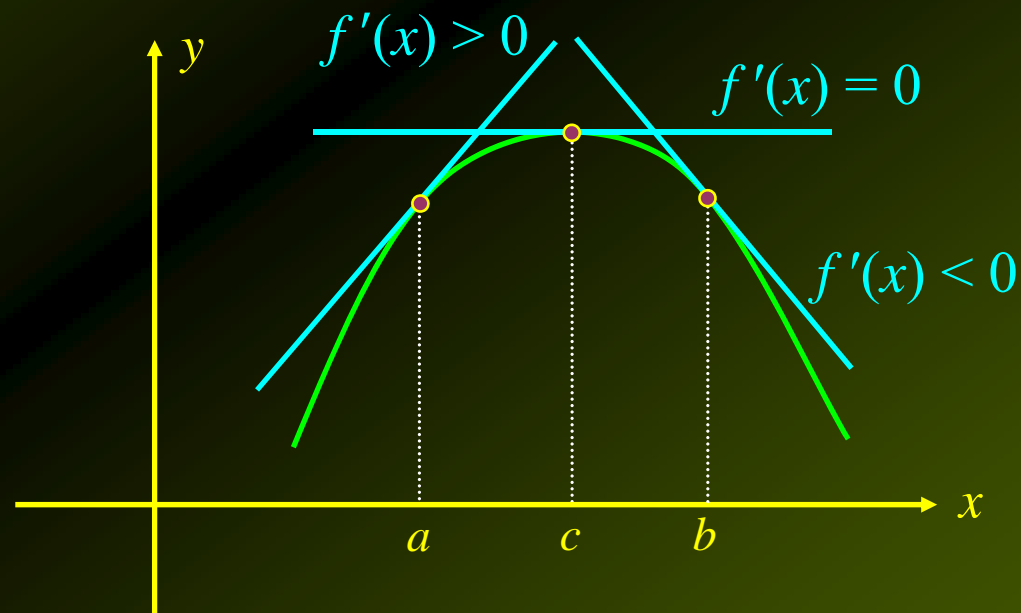


# Finding Relative Extrema

Suppose that  $f$  has a **relative maximum** at  $c$ .

The **slope of the tangent** line to the graph **must change** from **positive** to **negative** as  $x$  increases.

Therefore, the **tangent** line to the graph of  $f$  at point  $(c, f(c))$  **must be horizontal**, so that  $f'(x) = 0$  or  $f'(x)$  is undefined.

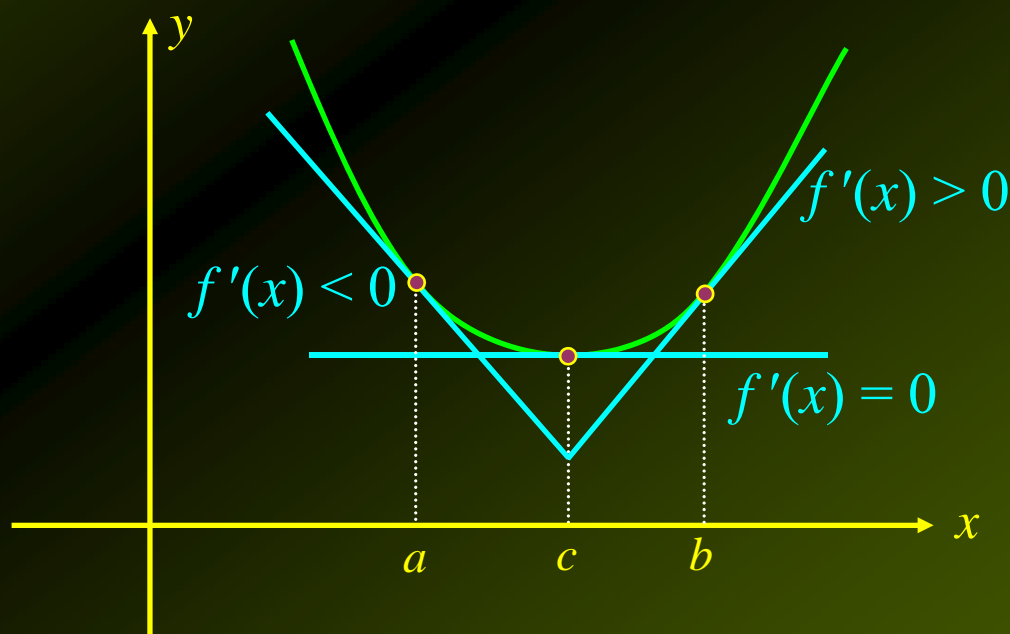


# Finding Relative Extrema

Suppose that  $f$  has a **relative minimum** at  $c$ .

The **slope of the tangent** line to the graph **must change** from **negative** to **positive** as  $x$  increases.

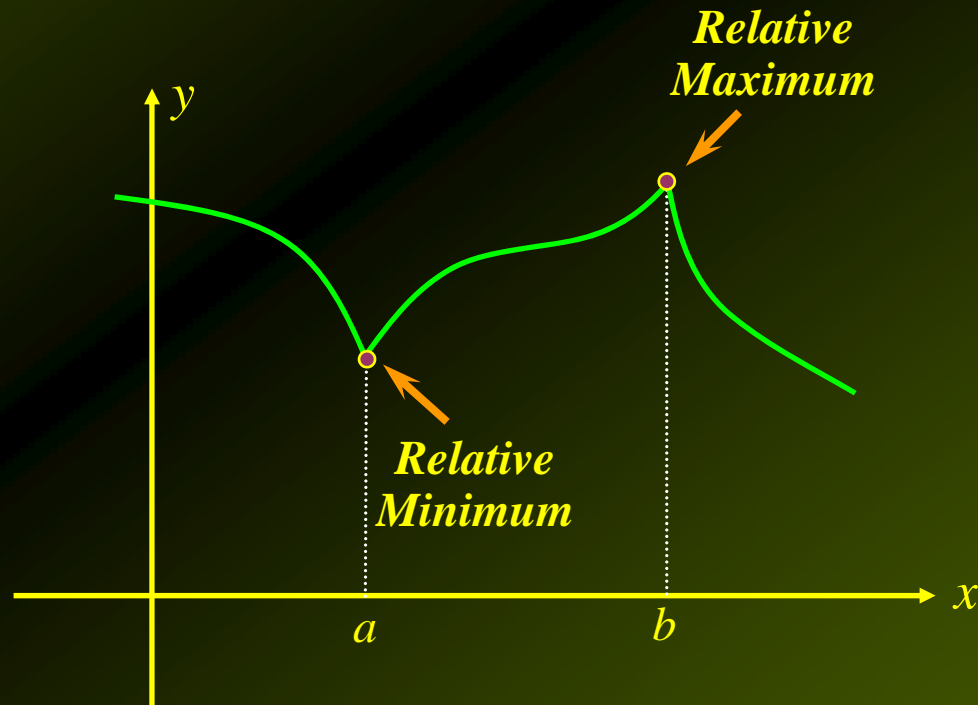
Therefore, the **tangent** line to the graph of  $f$  at point  $(c, f(c))$  **must be horizontal**, so that  $f'(x) = 0$  or  $f'(x)$  is undefined.



# Finding Relative Extrema

In some cases a derivative does not exist for particular values of  $x$ .

Extrema may exist at such points, as the graph below shows:



# Critical Numbers

We refer to a number in the domain of  $f$  that *may* give rise to a **relative extremum** as a **critical number**.

## Critical number of $f$

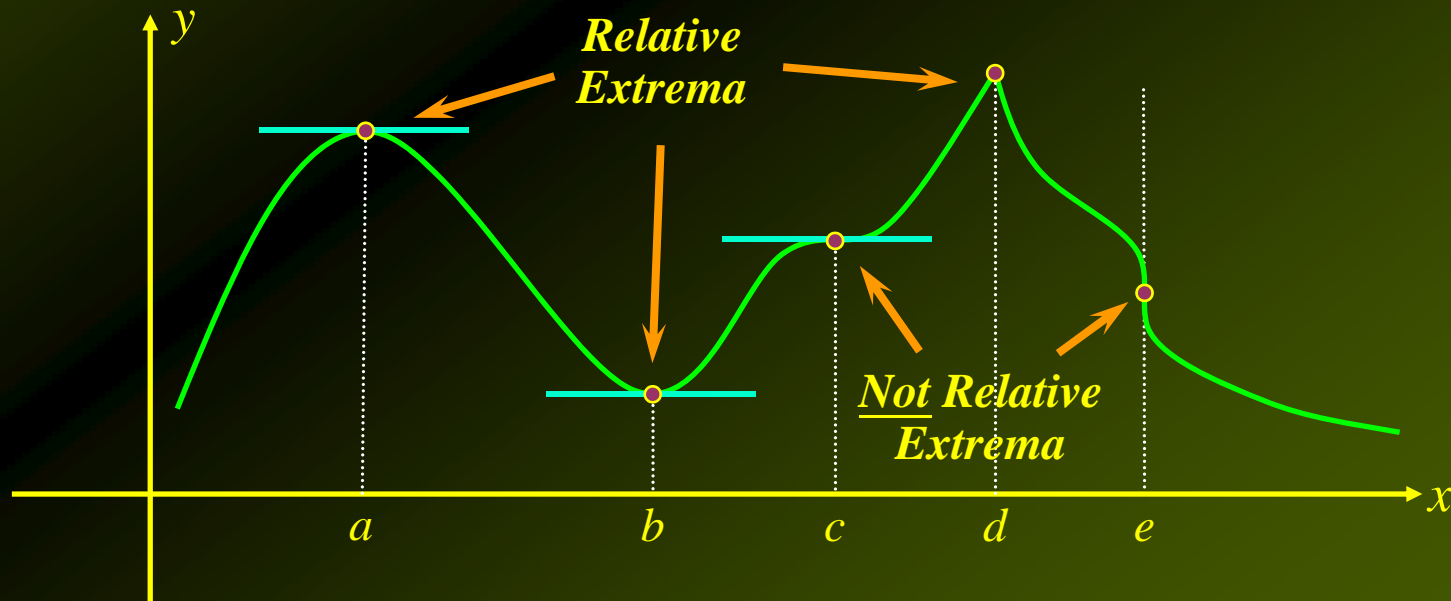
A critical number of a function  $f$  is any number  $x$  in the domain of  $f$  such that  $f'(x) = 0$  or  $f'(x)$  does not exist.

# Critical Numbers

The graph below shows us several critical numbers.

At points  $a$ ,  $b$ , and  $c$ ,  $f'(x) = 0$ .

There is a corner at point  $d$ , so  $f'(x)$  does not exist there. The tangent to the curve at point  $e$  is vertical, so  $f'(x)$  does not exist there either. Note that points  $a$ ,  $b$ , and  $d$  are relative extrema, while points  $c$  and  $e$  are not.



# The First Derivative Test

Procedure for Finding Relative Extrema of a Continuous Function  $f$

1. Determine the **critical numbers** of  $f$ .
2. Determine the **sign** of  $f'(x)$  to the **left** and **right** of each critical point.
  - a. If  $f'(x)$  changes sign from **positive** to **negative** as we move across a critical number  $c$ , then  $f(c)$  is a **relative maximum**.
  - b. If  $f'(x)$  changes sign from **negative** to **positive** as we move across a critical number  $c$ , then  $f(c)$  is a **relative minimum**.
  - c. If  $f'(x)$  **does not change sign** as we move across a critical number  $c$ , then  $f(c)$  is **not a relative extremum**.

# Example 5

Find the relative maxima and minima of  $f(x) = x^2$

Solution:

The derivative of  $f$  is  $f'(x) = 2x$ .

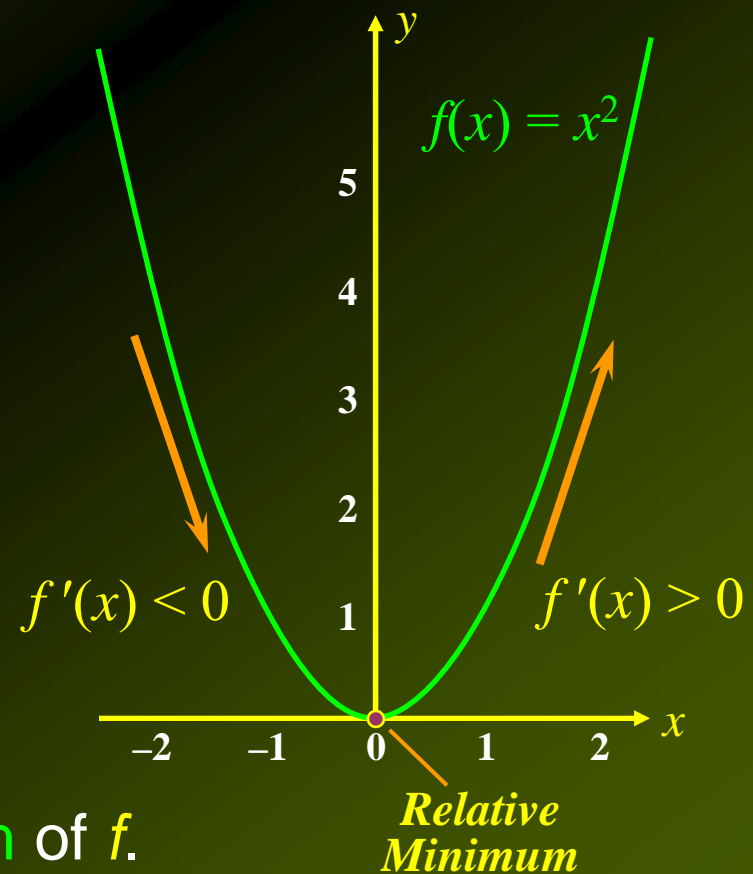
Setting  $f'(x) = 0$  yields  $x = 0$  as the only **critical number** of  $f$ .

Since  $f'(x) < 0$  if  $x < 0$

and  $f'(x) > 0$  if  $x > 0$

we see that  $f'(x)$  changes sign from **negative** to **positive** as we move across  $0$ .

Thus,  $f(0) = 0$  is a **relative minimum** of  $f$ .





## Example 6

Find the relative maxima and minima of  $f(x) = x^{2/3}$

Solution:

The derivative of  $f$  is  $f'(x) = \frac{2}{3}x^{-1/3}$ .

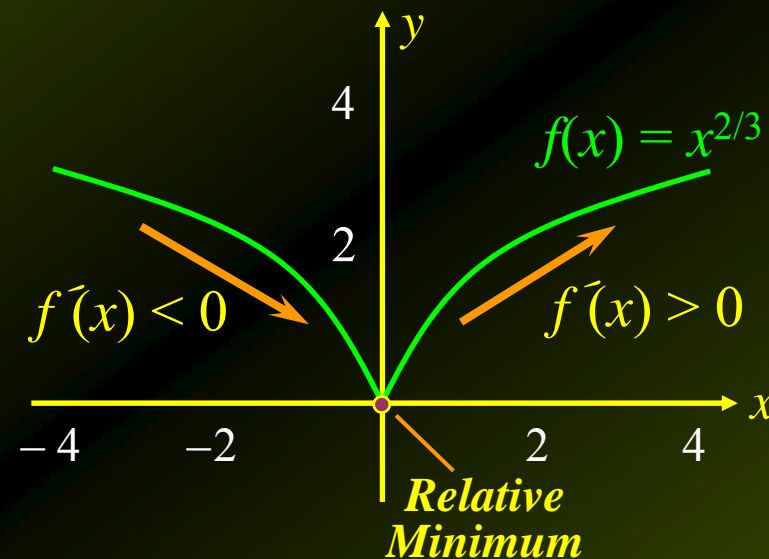
$f'(x)$  is **not defined** at  $x = 0$ , is **continuous** everywhere else, and is **never equal to zero** in its domain.

Thus  $x = 0$  is the only **critical number** of  $f$ .

# Example 6 – Solution

cont'd

Since  $f'(x) < 0$  if  $x < 0$  and  $f'(x) > 0$  if  $x > 0$  we see that  $f'(x)$  changes sign from **negative** to **positive** as we move across 0.



Thus,  $f(0) = 0$  is a **relative minimum** of  $f$ .

## Example 7

Find the relative maxima and minima of

$$f(x) = x^3 - 3x^2 - 24x + 32$$

Solution:

The derivative of  $f$  and equate to zero:

$$f'(x) = 3x^2 - 6x - 24 = 0$$

$$3(x^2 - 2x - 8) = 0$$

$$3(x - 4)(x + 2) = 0$$

The zeros of  $f'(x)$  are  $x = -2$  and  $x = 4$ .

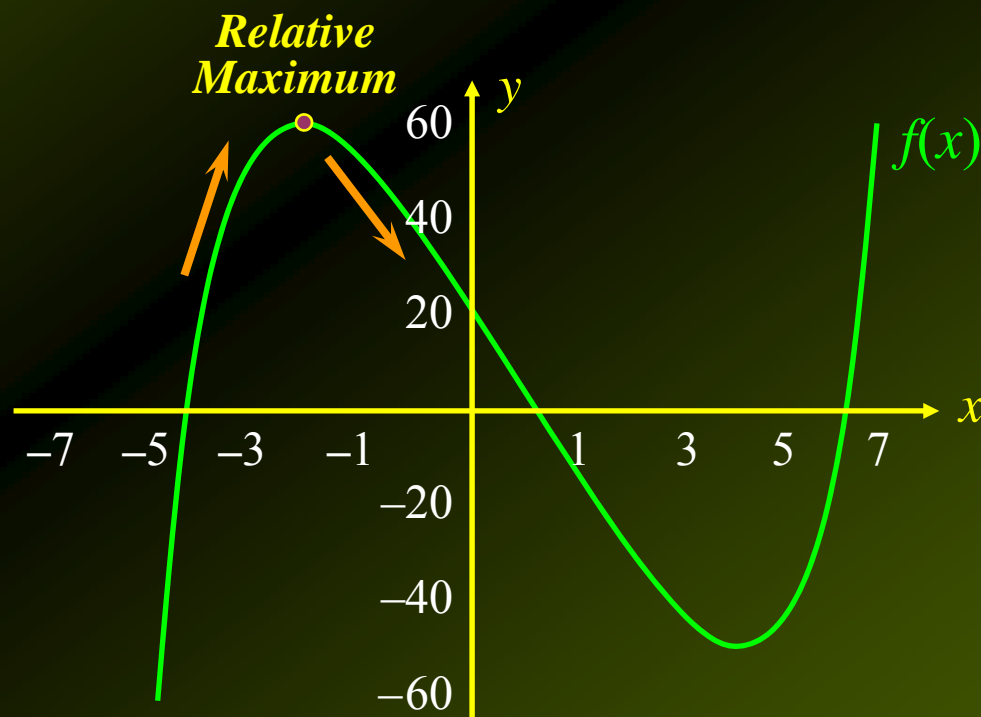
$f'(x)$  is defined everywhere, so  $x = -2$  and  $x = 4$  are the only critical numbers of  $f$ .

# Example 7 – Solution

cont'd

Since  $f'(x) > 0$  if  $x < -2$  and  $f'(x) < 0$  if  $0 < x < 4$ , we see that  $f'(x)$  changes sign from **positive** to **negative** as we move across  $-2$ .

Thus,  $f(-2) = 60$  is a **relative maximum**.



# Example 7 – Solution

cont'd

Since  $f'(x) < 0$  if  $0 < x < 4$  and  $f'(x) > 0$  if,  $x > 4$  we see that  $f'(x)$  changes sign from **negative** to **positive** as we move across 4.

Thus,  $f(4) = -48$  is a **relative minimum**.

